


FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known																																																																																																																																																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/603,523																																																																																																																																																																		
		Filing Date	June 23, 2000																																																																																																																																																																		
		First Named Inventor	Edward R. Howorka																																																																																																																																																																		
		Examiner Name	Not Yet Assigned																																																																																																																																																																		
		Group Art Unit	2761																																																																																																																																																																		
TOTAL AMOUNT OF PAYMENT (\$) 204.00		Attorney Docket No.	E3879.0004/P004																																																																																																																																																																		
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																			
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		<div style="text-align: right; font-size: 2em; font-weight: bold;">RECEIVED</div> <div style="text-align: right; font-size: 1.2em;">AUG 14 2002</div> <div style="text-align: right; font-size: 2.5em; font-weight: bold;">GROUP 3600</div>																																																																																																																																																																			
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unavoidable</td></tr><tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td></tr><tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td></tr><tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td></tr><tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr><tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td></tr><tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37CFR 1.129(b))</td></tr><tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td></tr><tr><td colspan="5">Other fee (specify) _____</td></tr><tr><td colspan="5">*Reduced by Basic Filing Fee Paid</td></tr><tr><td colspan="5" style="text-align: right;">SUBTOTAL (3) (0.00)</td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - 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Name (Print/Type)	Steven Rubin	Registration No. (Attorney/Agent)	43,063																																																																																																																																																																		
Signature		Telephone	(212) 896-5486																																																																																																																																																																		
		Date	August 6, 2002																																																																																																																																																																		



216

276
4

AMENDMENT TRANSMITTAL LETTER

Docket No.
E3879.0004/P004

Application No.
09/603,523

Filing Date
June 23, 2000

Examiner
Not Yet Assigned

Art Unit
2761

Applicant(s): Edward R. Howorka, et al.

Invention: ARCHITECTURE FOR ANONYMOUS TRADING SYSTEM

RECEIVED

TO THE COMMISSIONER FOR PATENTS

AUG 1 2 2002

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

Technology Center 2100

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	22	- 20 =	2	x 18.00	36.00
Independent Claims	5	- 3 =	2	x 84.00	168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					204.00

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.

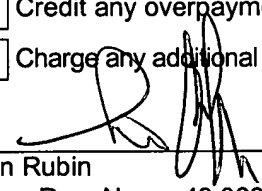
☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☒ Payment by credit card. Form PTO-2038 is attached *Decline*

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-2215 as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.


Steven Rubin
Attorney Reg. No.: 43,063

Dated: August 6, 2002

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
1177 Avenue of the Americas
41st Floor
New York, New York 10036-2714
(212) 896-5486

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